



PORTFOLIO PLANNING

ENVIRONMENTAL, SOCIAL & GOVERNANCE (ESG) INVESTMENTS

Client Name:

Adviser Name:

Adviser Company:

TAM ASSET MANAGEMENT INTERNATIONAL LTD

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FOR COMPLETION BY THE CLIENT

To use this questionnaire, answer the 19 questions below to indicate the level of concern you have for ESG factors in regards to your investments and whether you may wish to consider an ESG based investment portfolio.

QUESTIONS	VERY IMPORTANT	NO OPINION	NOT IMPORTANT
How important to you is it that your investments avoid the following:			
Animal testing for cosmetic purposes	☐	☐	☐
Armaments	☐	☐	☐
Fossil Fuels	☐	☐	☐
Gambling	☐	☐	☐
Nuclear Power	☐	☐	☐
Pornography	☐	☐	☐
Tobacco	☐	☐	☐
How important is it to you that the companies you are investing in are addressing issues such as:			
Community impact	☐	☐	☐
Energy use	☐	☐	☐
Equality and diversity	☐	☐	☐
Human rights	☐	☐	☐
Supply chain management	☐	☐	☐
Waste management	☐	☐	☐
Working conditions	☐	☐	☐
How important is it to you that your investments are aligned to themes such as:			
Digitalisation	☐	☐	☐
Cyber security	☐	☐	☐
Healthcare	☐	☐	☐
Renewable energy	☐	☐	☐
Sustainable agriculture	☐	☐	☐
TOTAL			

If you answered 'very important' to 5 or more of the questions above you may wish to consider an ESG based investment portfolio. You may wish to consider an ESG based investment portfolio regardless of your answers above so please discuss all of your options further and in detail with your financial adviser.

By signing below, you confirm that you have answered the questions above to the best of your ability.

Client Signature: _____ Date: _____

FOR COMPLETION BY THE ADVISER

This document is for guidance only. TAM does not attempt to evaluate or direct your client's concern for ESG factors in relation to their investments. By signing below, you the adviser confirm that you have considered these issues and will discuss them with your client.

Adviser Signature: _____ Date: _____